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# Combinatorial Structures for CSP

Duncan Adamson

Reykjavik University, Department of Computer Science

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# Outline

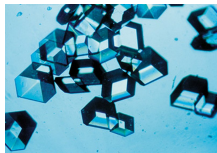
This Talk is split into three sections:

1. Background on both the chemical motivation behind this talk, and 1D necklaces.
2. An introduction to **multidimensional necklaces** as a combinatorial object.
3. A set of results on several fundamental problems associated with 1D necklace objects when generalised to the multidimensional setting.

## Why Crystals?

- New materials are needed to deal with the challenges of the 21st century, from strong materials for manufacturing to better conductors for electrical systems.
- Crystals are a fundamental, and very common form of matter.
- Importantly, Crystals are **periodic** - meaning that a lot of the properties of a crystalline material can be determined from a relatively small amount of information.

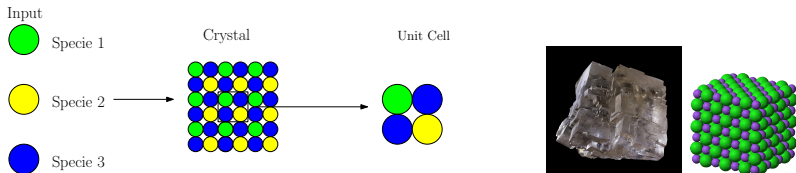
# Crystals are everywhere



# Crystals

## Definition (Crystals)

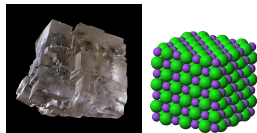
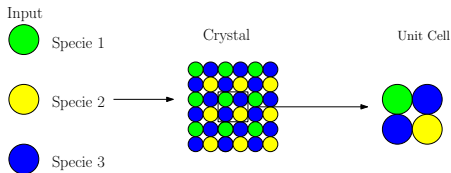
A **Crystal** is a material composed of an (infinitely) repeating **Unit Cell**.



# Crystals

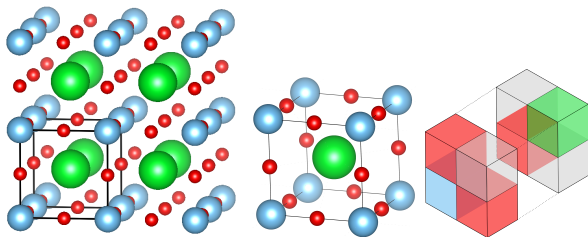
## Definition (Unit Cells)

A **Unit Cell** is a contiguous region of space containing some set of **ions**.



## Discrete Crystals

- In this talk we are interested in **Discrete Crystals**, i.e. crystals where every ion is placed on a grid.
- In this model, every cell is either empty, or wholly occupied by an ion.
- For simplicity we assume that each cell can contain only 1 ion, and that each ion can fit into a single cell.



# Goals

We want to:

- **Count** the number of potential (discrete) crystal structures from some set of ions and given size.
- **Generate** the complete set of (discrete) crystal structures from some set of ions and given size.
- **Sample** crystals from the space of potential structures with uniform probability.



# Discrete Crystals as Multidimensional Words

- Multidimensional words are a natural object for representing discrete crystals.
- Here the alphabet  $\Sigma$  represents the set of ions plus some symbol for empty space.
- The size of the word is the dimensions of the unit cell.

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## Discrete Crystals as Multidimensional Words

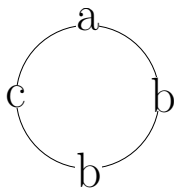
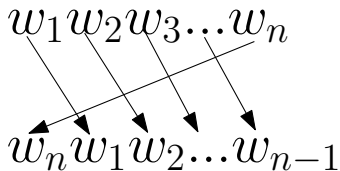
- Multidimensional words are a natural object for representing discrete crystals.
- Here the alphabet  $\Sigma$  represents the set of ions plus some symbol for empty space.
- The size of the word is the dimensions of the unit cell.
- **Advantages:** Multidimensional words are well studied objects, with results on counting, generating, and sampling.
- **Problem:** Crystals have **translational symmetry**.

# Translational Symmetry

$$\begin{array}{c}
 \begin{bmatrix} a & a & a \\ a & b & b \\ c & c & b \end{bmatrix}, \\
 \begin{bmatrix} a & b & b \\ c & c & b \\ a & a & a \end{bmatrix}, \\
 \begin{bmatrix} c & c & b \\ a & a & a \\ a & b & b \end{bmatrix},
 \end{array}
 \quad
 \begin{array}{c}
 \begin{bmatrix} a & a & a \\ b & b & a \\ c & b & c \end{bmatrix}, \\
 \begin{bmatrix} b & b & a \\ c & b & c \\ a & a & a \end{bmatrix}, \\
 \begin{bmatrix} c & b & c \\ a & a & a \\ b & b & a \end{bmatrix},
 \end{array}
 \quad
 \begin{array}{c}
 \begin{bmatrix} a & a & a \\ b & a & b \\ b & c & c \end{bmatrix}, \\
 \begin{bmatrix} b & a & b \\ b & c & c \\ a & a & a \end{bmatrix}, \\
 \begin{bmatrix} b & c & c \\ a & a & a \\ b & a & b \end{bmatrix}
 \end{array}$$

## Necklaces

- In 1D the problem of translational symmetry is solved using **Necklaces**.
- Informally a necklace is a set of words that can be reached from each other by some **translation**.
- The **translation** (or cyclic shift) of a word  $w$  by some integer  $i$  returns the word  $w'$  where  $w'_j = w_{j-i \bmod n}$ .



abbc  
bbca  
bcab  
cabb

## Periodicity

- A necklace of length  $n$  containing the word  $w$  is **periodic** if there is a subword of  $w$  that can be repeated to make  $w$ .
- A necklace is **aperiodic** if it is not periodic.
- An aperiodic necklace is called a **Lyndon word**.

<b>abab</b>	<b>aaab</b>
baba	aaba
<i>abab</i>	abaa
<i>baba</i>	baaa

## Some Notation for 1D Necklaces

For the remainder of this talk we use the following assumptions:

- $\Sigma$  denotes an alphabet, which we assume has size  $q$ .
- The **Canonical form** of a necklace  $\omega$  (denoted  $\langle \omega \rangle$ ) is the **Lexicographically smallest** word  $w \in \omega$ .
- $\mathcal{N}_q^n$  denotes the set of necklaces of length  $n$  over an alphabet of size  $q$ .
- $\mathcal{L}_q^n$  denotes the set of Lyndon words (aperiodic necklaces) in  $\mathcal{N}_q^n$ .

## Results for Necklaces

Necklaces are a well studied object, of note to us are the results on:

- **Counting** the sizes of  $\mathcal{N}_q^n$  and  $\mathcal{L}_q^n$ .
- **Generating** the sets  $\mathcal{N}_q^n$  and  $\mathcal{L}_q^n$  in order.
- **Ranking** a necklace within the sets  $\mathcal{N}_q^n$  and  $\mathcal{L}_q^n$ .
- **Unranking** a necklace from the sets  $\mathcal{N}_q^n$  and  $\mathcal{L}_q^n$ .



## Counting Necklaces and Lyndon words

- The most fundamental result for both necklaces and Lyndon words are the closed form formulas for counting.
- These results follow from the Pólya enumeration and the Möbius inversion formula respectively.

$$\begin{aligned}
 |\mathcal{N}_q^n| &= \sum_{d|n} |\mathcal{L}_q^d| &= \frac{1}{n} \sum_{d|n} \phi\left(\frac{n}{d}\right) q^d \\
 |\mathcal{L}_q^n| &= \sum_{d|n} \mu\left(\frac{n}{d}\right) |\mathcal{N}_q^d| &= \frac{1}{n} \sum_{d|n} \mu\left(\frac{n}{d}\right) q^d
 \end{aligned}$$

Where:

- $\phi(x)$  is Euler's totient function.
- $\mu(x)$  is the Möbius function.

## Generating Necklaces and Lyndon words

- There have been several algorithms for generating both the sets of necklaces and Lyndon words.
- Fredricksen and Kessler<sup>1</sup> provided an algorithm to generate  $\mathcal{N}_q^n$  in  $O(n)$  time (proven by Ruskey et al.<sup>2</sup>).
- Catel et. al.<sup>3</sup> provided an  $O(n)$  time algorithm to generate only  $\mathcal{L}_q^n$ .

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<sup>1</sup>Harold Fredricksen and Irving J Kessler. “An algorithm for generating necklaces of beads in two colors”. In: *Discrete mathematics* 61.2-3 (1986), pp. 181–188.

<sup>2</sup>F. Ruskey, C. Savage, and T. Min Yih Wang. “Generating necklaces”. In: *Journal of Algorithms* 13.3 (1992), pp. 414–430. ISSN: 01966774.

<sup>3</sup>K. Cattell et al. “Fast Algorithms to Generate Necklaces, Unlabeled Necklaces, and Irreducible Polynomials over GF(2)”. In: *Journal of Algorithms* 37.2 (2000), pp. 267–282.

## Ranking necklaces

### Definition (Rank)

The **Rank** of a necklace  $\omega$  in the set  $\mathcal{N}_q^n$  is the number of necklaces with a canonical form that is less than or equal to the canonical form of  $\omega$ .

- |            |            |            |                  |
|------------|------------|------------|------------------|
| 1. aaaaaa  | 2. aaaaab  | 3. aaaabb  | 4. aaabab        |
| 5. aaabbb  | 6. aabaab  | 7. aababb  | <b>8. aabbab</b> |
| 9. aabbbb  | 10. ababab | 11. ababbb | 12. abbabb       |
| 13. abbbbb | 14. bbbbbb |            |                  |

*Example of the set  $\mathcal{N}_2^6$ . In bold, the necklace represented by the word **aabbab** with a rank of 8.*

## Ranking Necklaces

- The problem of ranking necklaces originates from the problem of ranking de Bruijn Sequences<sup>4</sup>.
- The first class of necklaces to be ranked was Lyndon words.
- This was generalised to ranking general necklaces<sup>5,6</sup> in  $O(n^2)$  time.

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<sup>4</sup>T. Kociumaka, J. Radoszewski, and W. Rytter. “Computing k-th Lyndon word and decoding lexicographically minimal de Bruijn sequence”. In: *Symposium on Combinatorial Pattern Matching*. Springer International Publishing, 2014, pp. 202–211.

<sup>5</sup>S. Kopparty, M. Kumar, and M. Saks. “Efficient indexing of necklaces and irreducible polynomials over finite fields”. In: *Theory of Computing* 12.1 (2016), pp. 1–27.

<sup>6</sup>J. Sawada and A. Williams. “Practical algorithms to rank necklaces, Lyndon words, and de Bruijn sequences”. In: *Journal of Discrete Algorithms* 43 (2017), pp. 95–110. ISSN: 15708667.

# Unranking Necklaces

## Definition (Unranking Problem)

Given a set of necklaces  $\mathcal{N}_q^n$  and an integer  $i$ , the unranking problem asks for the necklace with a rank of  $i$ .

- |     |        |     |        |     |        |           |               |
|-----|--------|-----|--------|-----|--------|-----------|---------------|
| 1.  | aaaaaa | 2.  | aaaaab | 3.  | aaaabb | 4.        | aaabab        |
| 5.  | aaabbb | 6.  | aabaab | 7.  | aababb | <b>8.</b> | <b>aabbab</b> |
| 9.  | aabbbb | 10. | ababab | 11. | ababbb | 12.       | abbabb        |
| 13. | abbbbb | 14. | bbbbbb |     |        |           |               |

## Why do we care about ranks?

- Going back to our goal of sampling, note that while randomly sampling words may lead to some necklaces (Lyndon words in particular) being over represented, choosing a rank at random and unranking the corresponding necklaces allows for a uniform distribution.

<b>aaaa</b>	<b>aaab</b>	<b>aabb</b>	<b>abab</b>	<b>abbb</b>	<b>bbbb</b>
-	aaba	abba	baba	bbba	-
-	abaa	bbaa	-	bbab	-
-	baaa	baab	-	babb	-

*Example of the set of words of length 4 over the alphabet  $\Sigma = \{a, b\}$  split into the 6 necklaces in  $\mathcal{N}_2^4$ . Highlighted are the periods of the words, corresponding to the number of words in the corresponding necklace.*

# Multidimensional Necklaces (almost)

- To define multidimensional necklaces we need three more things:
  1. Notation for multidimensional words.
  2. A formal definition of translational equivalence.
  3. Some notion over ordering over the set of multidimensional words.

## Notation for multidimensional words

- We treat  $d$  dimensional words as  $d$ -dimensional arrays of symbols over some alphabet  $\Sigma$ .
- Given a word  $w$ , the notation  $w[x_1, x_2, \dots, x_d]$  is used to denote the symbol at position  $x_1, x_2, \dots, x_d$  in  $w$ .
- Given a word  $w$  of size  $n_1 \times n_2 \times \dots \times n_d$ , the notation  $w_i$  is used denote the word  $v$  of size  $n_1 \times n_2 \times \dots \times n_{d-1}$  where  $v[x_1, x_2, \dots, x_{d-1}] = w[x_1, x_2, \dots, x_{d-1}, i]$ . We call  $w_i$  the  $i^{\text{th}}$  slice of  $w$ .

$$w = \begin{bmatrix} a & a & b \\ a & a & c \\ a & b & c \end{bmatrix}$$

$$w_1 = [a \ a \ b]$$

$$w_2 = [a \ a \ c]$$

$$w_3 = [a \ b \ c]$$



## Translational Equivalence

- Let  $w$  be a 2D word of size  $n_1 \times n_2$  and let  $(i, j)$  be a pair of integers such that  $0 \leq i \leq n_1 - 1$  and  $0 \leq j \leq n_2 - 1$ .
- The *translation* of  $w$  by  $(i, j)$  returns the word  $v$  where  $w[x, y] = v[x + i \bmod n_1, y + j \bmod n_2]$ .
- More generally, given a  $d$ -dimensional word  $w$  of size  $n_1 \times n_2 \times \dots \times n_d$ , the translation by  $(t_1, t_2, \dots, t_d)$  returns the word  $v$  such that  $w[x_1, x_2, \dots, x_d] = v[x_1 + t_1 \bmod n_1, x_2 + t_2 \bmod n_2, \dots, x_d + t_d \bmod n_d]$ .
- The notation  $w \circ (t_1, t_2, \dots, t_d)$  is used to denote the shift of  $w$  by  $(t_1, t_2, \dots, t_d)$ .

$$\begin{bmatrix} a & a & b \\ a & a & b \\ a & b & c \end{bmatrix} \circ (1, 1) = \begin{bmatrix} a & b & a \\ b & c & a \\ a & b & a \end{bmatrix}$$

## Translational Equivalence

- We define the set of translations for words of size  $\vec{n} = (n_1, n_2, \dots, n_d)$ ,  $Z_{\vec{n}}$  by the direct product of the cyclic groups  $Z_{n_1} \times Z_{n_2} \times \dots \times Z_{n_d}$ .
- We order the set of translations  $Z_{\vec{n}}$  such that  $(i, j) < (x, y)$  if and only if  $i < x$  or  $i = x$  and  $j < y$ .

$$\begin{aligned} \begin{bmatrix} (0,0) & (0,1) & (0,2) & (0,3) \\ (1,0) & (1,1) & (1,2) & (1,3) \\ (2,0) & (2,1) & (2,2) & (2,3) \\ (3,0) & (3,1) & (3,2) & (3,3) \end{bmatrix} &= \begin{bmatrix} 0 \cdot 4 + 0 & 0 \cdot 4 + 1 & 0 \cdot 4 + 2 & 0 \cdot 4 + 3 \\ 1 \cdot 4 + 0 & 1 \cdot 4 + 1 & 1 \cdot 4 + 2 & 1 \cdot 4 + 3 \\ 2 \cdot 4 + 0 & 2 \cdot 4 + 1 & 2 \cdot 4 + 2 & 2 \cdot 4 + 3 \\ 3 \cdot 4 + 0 & 3 \cdot 4 + 1 & 3 \cdot 4 + 2 & 3 \cdot 4 + 3 \end{bmatrix} \\ &= \begin{bmatrix} 0 & 1 & 2 & 3 \\ 4 & 5 & 6 & 7 \\ 8 & 9 & 10 & 11 \\ 12 & 13 & 14 & 15 \end{bmatrix} \end{aligned}$$

## Ordering Multidimensional Words

- We order multidimensional words using **Necklace recursive order**.
- This order works by comparing each slice in order.
- Let  $\langle w \rangle$  denote the canonical representation of the necklace containing the word  $w$ , and let  $T(w)$  denote the translation  $t \in Z_{\vec{n}}$  such that  $\langle w \rangle \circ t = w$ .

### Definition (Necklace Recursive Order)

Given two words  $w, v$  of size  $n_1 \times n_2 \times \dots \times n_d$  over the alphabet  $\Sigma$ ,  $w \leq v$  if and only if for the smallest  $i$  such that  $w_i \neq v_i$ , either  $\langle w_i \rangle < \langle v_i \rangle$  or  $\langle w_i \rangle = \langle v_i \rangle$  and  $T(w_i) < T(v_i)$ .

## Ordering Examples

$$w = \begin{bmatrix} w_1 \\ w_2 \\ w_3 \\ w_4 \end{bmatrix} = \begin{bmatrix} a & a & a & b \\ a & a & b & a \\ a & a & a & b \\ b & a & a & a \end{bmatrix}, u = \begin{bmatrix} u_1 \\ u_2 \\ u_3 \\ u_4 \end{bmatrix} = \begin{bmatrix} a & a & a & b \\ a & a & b & a \\ b & a & a & a \\ b & a & a & a \end{bmatrix},$$

$$v = \begin{bmatrix} v_1 \\ v_2 \\ v_3 \\ v_4 \end{bmatrix} = \begin{bmatrix} a & a & a & b \\ a & a & b & a \\ a & a & b & b \\ b & a & a & a \end{bmatrix},$$

**Figure 1:** An example of three words,  $w$ ,  $u$ , and  $v$ , ordered as follows  $w < u < v$ . Note that  $w_1 : w_2 = v_1 : v_2 = u_1 : u_2$ . However,  $\langle w_3 \rangle = \langle u_3 \rangle = aaab$ , which is smaller than  $\langle v_3 \rangle = aabb$ . Further,  $w_3 < u_3$  as  $G(w_3, \langle w_3 \rangle) = 2$  and  $G(u_3, \langle u_3 \rangle) = 3$ , which is larger than 2.

## Multidimensional Necklace

### Definition (Multidimensional Necklace)

A **Multidimensional Necklace**  $\omega$  of size  $n_1 \times n_2 \times \dots \times n_d$  over an alphabet  $\Sigma$  is a set of  $d$ -dimensional words of size  $n_1 \times n_2 \times \dots \times n_d$  over an alphabet  $\Sigma$  that are equal under the set of translations  $Z_{(n_1, n_2, \dots, n_d)}$ . The set of all such necklaces is denoted  $\mathcal{N}_q^{(n_1, n_2, \dots, n_d)}$ .

### Definition (Canonical Form)

The **Canonical Form** of a necklace  $\omega$ , denoted  $\langle \omega \rangle$ , is the smallest word  $w \in \omega$  under the **necklace recursive order**.

### Definition (Comparing Necklaces)

Let  $\omega, v$  be a pair of necklaces of size  $n_1, n_2, \dots, n_d$  over the alphabet  $\Sigma$ .  $\omega < v$  if and only if  $\langle \omega \rangle < \langle v \rangle$ .

# Examples of Multidimensional Necklaces

$\begin{bmatrix} a & a \\ a & a \end{bmatrix}$	$\begin{bmatrix} a & a \\ a & b \end{bmatrix}$	$\begin{bmatrix} a & a \\ b & b \end{bmatrix}$	$\begin{bmatrix} a & b \\ a & b \end{bmatrix}$	$\begin{bmatrix} a & b \\ b & a \end{bmatrix}$	$\begin{bmatrix} a & b \\ b & b \end{bmatrix}$	$\begin{bmatrix} b & b \\ b & b \end{bmatrix}$
-	$\begin{bmatrix} a & a \\ b & a \end{bmatrix}$	-	$\begin{bmatrix} b & a \\ b & a \end{bmatrix}$	$\begin{bmatrix} b & a \\ a & b \end{bmatrix}$	$\begin{bmatrix} b & a \\ b & b \end{bmatrix}$	-
-	$\begin{bmatrix} a & b \\ a & a \end{bmatrix}$	$\begin{bmatrix} b & b \\ a & a \end{bmatrix}$	-	-	$\begin{bmatrix} b & b \\ a & b \end{bmatrix}$	-
-	$\begin{bmatrix} b & a \\ a & a \end{bmatrix}$	-	-	-	$\begin{bmatrix} b & b \\ b & a \end{bmatrix}$	-

## Aperiodicity in Multidimensional Necklaces

- As in the 1D case, multidimensional necklaces (and words) can be **Aperiodic**.
- A multidimensional necklace  $\omega$  is **Periodic** if there exists some subword of  $\omega$  that can be used to tile the space equivalently to  $\omega$ .
- A multidimensional necklace  $\omega$  is **Aperiodic** if there exists no such subword.
- An aperiodic multidimensional necklace is called a multidimensional **Lyndon Word**. The set of Lyndon Words of size  $n_1 \times n_2 \times \dots \times n_d$  over an alphabet of size  $q$  is denoted  $\mathcal{L}_q^{(n_1, n_2, \dots, n_d)}$ .

## Aperiodicity in Multidimensional Necklaces

$$\langle \omega \rangle = \begin{bmatrix} a & b & a & b \\ b & a & b & a \\ a & b & a & b \\ b & a & b & a \end{bmatrix}, \langle v \rangle = \begin{bmatrix} a & a & a & b \\ a & a & a & b \\ a & a & a & b \\ a & a & b & a \end{bmatrix}$$

- As in 1D, the number of words in a necklace is bounded from above by the size of the period.



## Aperiodicity in Multidimensional Necklaces

$$\langle \omega \rangle = \begin{bmatrix} a & b & a & b \\ b & a & b & a \\ a & b & a & b \\ b & a & b & a \end{bmatrix}, \langle v \rangle = \begin{bmatrix} a & a & a & b \\ a & a & a & b \\ a & a & a & b \\ a & a & b & a \end{bmatrix}$$

- As in 1D, the number of words in a necklace is bounded from above by the size of the period.
- Unlike in 1D, the number of words may be less than the size of the period.

## Atranslational Multidimensional Necklaces

- A necklace of size  $n_1 \times n_2 \times \dots \times n_d$  is **atranslational** if it contains  $n_1 \cdot n_2 \cdot \dots \cdot n_d$  words.
- A word  $w$  is atranslational if  $w \circ t \neq w \circ r$  for every pair of translations  $t, r \in Z_{\vec{n}}$  where  $t \neq r$ .
- Every atranslational word is aperiodic, however not every aperiodic word is atranslational.
- The set of atranslational necklaces of size  $n_1 \times n_2 \times \dots \times n_d$  over an alphabet of size  $q$  is denoted  $\mathcal{A}_q^{(n_1, n_2, \dots, n_d)}$ .

## Atranslational Multidimensional Necklaces

$$\langle \omega \rangle = \begin{bmatrix} a & a & a & b \\ a & a & b & a \\ a & b & a & a \\ b & a & a & a \end{bmatrix}, \langle v \rangle = \begin{bmatrix} a & a & a & b \\ a & a & a & b \\ a & a & a & b \\ a & a & b & a \end{bmatrix}$$

- $\omega$  is aperiodic, but not atranslational as it only contains 4 words,  $v$  is both aperiodic and atranslational.

## Atranslational Multidimensional Necklaces

$\begin{bmatrix} a & a \\ a & b \end{bmatrix}$	$\begin{bmatrix} a & b \\ b & a \end{bmatrix}$	$\begin{bmatrix} a & b \\ b & b \end{bmatrix}$
$\begin{bmatrix} a & a \\ b & a \end{bmatrix}$	$\begin{bmatrix} b & a \\ a & b \end{bmatrix}$	$\begin{bmatrix} b & a \\ b & b \end{bmatrix}$
$\begin{bmatrix} a & b \\ a & a \\ b & a \\ a & a \end{bmatrix}$	$\begin{bmatrix} b & a \\ a & b \\ a & b \\ a & b \\ b & a \end{bmatrix}$	$\begin{bmatrix} b & b \\ a & b \\ b & b \\ b & a \end{bmatrix}$

- Example of the set of aperiodic  $2 \times 2$  words over a binary alphabet sorted into necklace classes.
- Note that  $\begin{bmatrix} a & b \\ b & a \end{bmatrix}$  is aperiodic, as it can be represented using any subword (without applying some translation), but it is not atranslational, as there are only two unique translations.

## Results Overview

Let  $\vec{n} = (n_1, n_2, \dots, n_d)$ ,  $N = \prod_{i=1}^d n_i$  and  $q$  be the size of the alphabet  $\Sigma$ . We obtain the following results for multidimensional necklaces, Lyndon words, and atranslational necklaces of size  $n_1 \times n_2 \times \dots \times n_d$  over  $\Sigma$ :

- Closed form formulae for counting the sizes of  $\mathcal{N}_q^{\vec{n}}$ ,  $\mathcal{L}_q^{\vec{n}}$ , and  $\mathcal{A}_q^{\vec{n}}$ .
- An  $O(N)$  amortised time algorithm for generating the set  $\mathcal{N}_q^{\vec{n}}$  in Necklace recursive order.
- An  $O(N^5)$  time algorithm for ranking necklaces within the sets  $\mathcal{N}_q^{\vec{n}}$ ,  $\mathcal{L}_q^{\vec{n}}$ , and  $\mathcal{A}_q^{\vec{n}}$ .
- An  $O(N^{6(d+1)} \cdot \log^d(q))$  time unranking algorithm for the sets  $\mathcal{N}_q^{\vec{n}}$ ,  $\mathcal{L}_q^{\vec{n}}$ , and  $\mathcal{A}_q^{\vec{n}}$ .

## Counting Multidimensional Necklaces

- Our counting results are obtained using the Pólya enumeration and the Möbius inversion formulae for necklaces and Lyndon words respectively.

$$|\mathcal{N}_q^{\vec{n}}| = \frac{1}{N} \sum_{f_1|n_1} \phi(f_1) \sum_{f_2|n_2} \phi(f_2) \dots \sum_{f_d|n_d} \phi(f_d) q^{(N/lcm(f_1, f_2, \dots, f_d))}$$

$$|\mathcal{L}_q^{\vec{n}}| = \sum_{f_1|n_1} \mu\left(\frac{n_1}{f_1}\right) \sum_{f_2|n_2} \mu\left(\frac{n_2}{f_2}\right) \dots \sum_{f_d|n_d} \mu\left(\frac{n_d}{f_d}\right) |\mathcal{N}_q^{f_1, f_2, \dots, f_d}|$$

## Counting Multidimensional Necklaces

- Our counting results are obtained using the Pólya enumeration and the Möbius inversion formulae for necklaces and Lyndon words respectively.

$$|\mathcal{N}_q^{\vec{n}}| = \frac{1}{N} \sum_{f_1|n_1} \phi(f_1) \sum_{f_2|n_2} \phi(f_2) \dots \sum_{f_d|n_d} \phi(f_d) q^{(N/lcm(f_1, f_2, \dots, f_d))}$$

$$|\mathcal{L}_q^{\vec{n}}| = \sum_{f_1|n_1} \mu\left(\frac{n_1}{f_1}\right) \sum_{f_2|n_2} \mu\left(\frac{n_2}{f_2}\right) \dots \sum_{f_d|n_d} \mu\left(\frac{n_d}{f_d}\right) |\mathcal{N}_q^{f_1, f_2, \dots, f_d}|$$

- Counting atranslational words is more complicated.

## Intuition behind counting Atranslational Necklaces

- At a (very) high level, the idea behind counting atranslational necklaces is to determine the number of **translational, aperiodic necklaces**.
- This is done in a recursive manner, noting that every translational, aperiodic necklace is composed of some subword that has been repeated under a translation.
- By counting the number of such words, we are able to determine the number of aperiodic necklaces.

$$w = \begin{bmatrix} w_1 \\ w_2 \\ w_3 \\ w_4 \end{bmatrix} = \begin{bmatrix} a & a & a & b \\ a & a & b & a \\ a & b & a & a \\ b & a & a & a \end{bmatrix} = \begin{bmatrix} w_1 \circ (0) \\ w_1 \circ (1) \\ w_1 \circ (2) \\ w_1 \circ (3) \end{bmatrix}$$



# Counting Atranslational Necklaces

$$|L_q^{\vec{n}}| = \sum_{i \in [d]} \sum_{l | n_i} \begin{cases} 0 & l = n_i \\ \left( \prod_{t=i+1}^{d-1} -\mu(n_t) \right) \left( -\mu\left(\frac{n_i}{l}\right) \right) |\mathcal{A}_q^{n_1, n_2, \dots, n_{d-1}, l}| \cdot H(i, l, \vec{n}, d) & 1 < l < n_d \end{cases}$$

Where:

- $H(i, l, \vec{n}, d) = \prod_{j=i}^d \begin{cases} 1 & i = d \\ (|\mathbf{G}(1, \vec{n})| - (l(i, l, \vec{n}))) \cdot (H(i, l, (n_1, n_2, \dots, n_{d-1}), d-1)) & i < d \end{cases}$
- $l(i, l, (n_1, n_2, \dots, n_d)) = \begin{cases} 0 & i = d \text{ or } l > 1 \\ 1 + l(i, l, (n_1, n_2, \dots, n_{d-1})) & n_i = n_d \\ l(i, l, (n_1, n_2, \dots, n_{d-1})) & n_i \neq n_d \end{cases}$

## Generating Multidimensional Necklaces

- At a high level our algorithm works by generating the set of **Prenecklaces** (prefixes of necklaces) in order.
- Our generation algorithm relies on the Necklace Recursive Ordering as a means to do so.
- We show a worked example of how to generate the necklace

in  $\mathcal{N}_2^{(4,4)}$  following

$$\begin{bmatrix} a & a & a & a \\ a & a & a & b \\ a & b & a & a \\ b & b & b & b \end{bmatrix}.$$

## Prenecklaces

### Definition (Prefix)

A word  $w$  of size  $n_1 \times n_2 \times \dots \times n_d$  is a **prefix** of the word  $v$  of size  $n_1 \times n_2 \times \dots \times n_{d-1} \times m$  if  $m \geq n_d$  and  $w_i = v_i$  for every  $i \in 1, 2, \dots, n_d$ .

### Definition (Prenecklaces)

A word  $w$  of size  $n_1 \times n_2 \times \dots \times n_d$  is a **prenecklace** if there exists a word  $v$  of size  $n_1 \times n_2 \times \dots \times n_{d-1} \times m$  such that  $v = \langle v \rangle$  and  $w$  is a prefix of  $v$ .

# Necklace Generation Example

$$\begin{bmatrix} a & a & a & a \\ a & a & a & b \\ a & b & a & a \\ b & b & b & b \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & a \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & b \end{bmatrix}$$

# Necklace Generation Example

$$\begin{bmatrix} a & a & a & a \\ a & a & a & b \\ a & b & a & a \\ \mathbf{b} & \mathbf{b} & \mathbf{b} & \mathbf{b} \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & a \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & b \end{bmatrix}$$

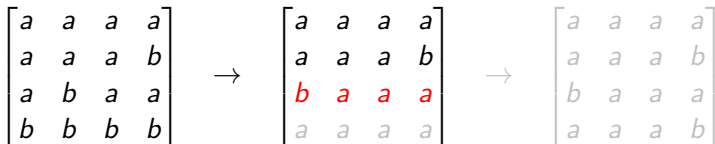
# Necklace Generation Example

$$\begin{bmatrix} a & a & a & a \\ a & a & a & b \\ a & b & a & a \\ \mathbf{b} & \mathbf{b} & \mathbf{b} & \mathbf{b} \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & a \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & b \end{bmatrix}$$

# Necklace Generation Example

$$\begin{bmatrix} a & a & a & a \\ a & a & a & b \\ a & b & a & a \\ b & b & b & b \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & a \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & b \end{bmatrix}$$

# Necklace Generation Example

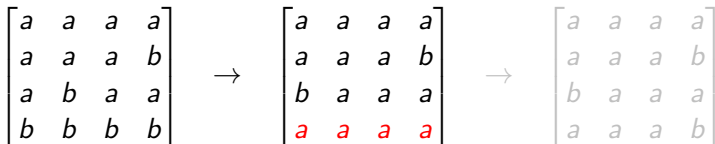




# Necklace Generation Example

$$\begin{bmatrix} a & a & a & a \\ a & a & a & b \\ a & b & a & a \\ b & b & b & b \end{bmatrix} \rightarrow \begin{bmatrix} \mathbf{a} & \mathbf{a} & \mathbf{a} & \mathbf{a} \\ a & a & a & b \\ b & a & a & a \\ \mathbf{a} & \mathbf{a} & \mathbf{a} & \mathbf{a} \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & b \end{bmatrix}$$

# Necklace Generation Example



# Necklace Generation Example

$$\begin{bmatrix} a & a & a & a \\ a & a & a & b \\ a & b & a & a \\ b & b & b & b \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ \mathbf{a} & \mathbf{a} & \mathbf{a} & \mathbf{a} \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ \mathbf{a} & \mathbf{a} & \mathbf{a} & \mathbf{b} \end{bmatrix}$$

# Necklace Generation Example

$$\begin{bmatrix} a & a & a & a \\ a & a & a & b \\ a & b & a & a \\ b & b & b & b \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & a \end{bmatrix} \rightarrow \begin{bmatrix} a & a & a & a \\ a & a & a & b \\ b & a & a & a \\ a & a & a & b \end{bmatrix}$$

# Ranking

- Let  $\omega$  be the necklace we are ranking and  $\mathcal{N}_q^{\vec{n}}$  be the set we are ranking  $\omega$  in.
- The high level idea behind our ranking algorithm is to compute the number of words belonging to a necklace class that is smaller than  $\langle \omega \rangle$ .
- This is transformed first into the number of Atranslational necklaces smaller than  $\omega$ , then into the number of Lyndon words smaller than  $\omega$ .
- Our recursive ordering allows this process to be handled recursively.

# Unranking

- Let  $i$  be the rank of the necklace in  $\mathcal{N}_q^{\vec{n}}$  and let  $\omega, v \in \mathcal{N}_q^{\vec{n}}$  be a pair of necklaces such that:
  - $\omega \leq v$ .
  - $w$  is the longest prefix that is shared by both  $\langle \omega \rangle$  and  $\langle v \rangle$ .
  - $w$  is the smallest necklace such that  $w$  is a prefix of  $\langle \omega \rangle$ .
  - $v$  is the largest necklace such that  $w$  is a prefix of  $\langle v \rangle$ .
- Then the number of necklaces sharing  $w$  as a prefix is given by  $Rank(v) - Rank(\omega)$  and further the necklace with a rank of  $i$  has  $w$  as a prefix if and only if  $Rank(\omega) \leq i \leq Rank(v)$ .
- This can be used with a binary search to determine the  $i^{th}$  necklace by determining the prefix iteratively.

# Open Problems

- Can de Bruijn Tori be found efficiently?
- Can multidimensional necklaces be ranked in  $O(N^2)$ .
- Can our results be extended to other notions of symmetry?